SPECIAL FEATURE

Biological effects of fibrous and particulate substances and related areas

### Cytokine alteration and speculated immunological pathophysiology in silicosis and asbestos-related diseases

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Abstract This review is partly composed of the presentation "Cytokine alteration and speculated immunological pathophysiology in silicosis and asbestos-related diseases" delivered during the symposium "Biological effects of fibrous and particulate substances and related areas" organized by the Study Group of Fibrous and Particulate Studies of the Japanese Society of Hygiene and held at the 78th Annual Meeting in Kumamoto, Japan. In this review, we briefly introduce the results of recent immunological analysis using the plasma of silica and asbestos-exposed patients diagnosed with silicosis, pleural plaque, or malignant mesothelioma. Thereafter, experimental background and speculation concerning the immunological pathophysiology of silica and asbestos-exposed patients are discussed.

**Keywords** Asbestos · Silica · Silicosis · Mesothelioma · Cytokine

#### Introduction

This special issue of *Environmental Health and Preventive Medicine* is organized to introduce the contents of a

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T. Kishimoto Okayama Rosai Hospital, 1-10-25 Chikkou-midori-machi, Okayama 7028055, Japan symposium provided by the Study Group of Fibrous and Particulate Studies (SGFPS) of the Japanese Society of Hygiene (JSH) and held at the 78th Annual Meeting in Kumamoto, Japan, which was entitled "Biological effects of fibrous and particulate substances and related areas". At this symposium, our research group presented a minilecture entitled "Cytokine alteration and speculated immunological pathophysiology in silicosis and asbestosrelated diseases". Although it would be beneficial to provide a summary here, our group has already presented reviews twice in Environmental Health and Preventive Medicine as parts of special issues. One review was entitled "Keynote lecture at the 13th Japanese Society of Immunotoxicology (JSIT 2006)-Pathophysiological development and immunotoxicology: what we have found from research related to silica and silicate such as asbestos", and was issued in July, 2007 [1, 2]. This mini-review was part of "Report of the 13th annual meeting of the Japanese Society of Immunotoxicology (JSIT 2006)", and a brief summary of our investigations regarding the immunological effects of silica and asbestos was presented [1, 2]. The other review was presented as "Immunological alterations found in mesothelioma patients and supporting experimental evidence", published online in February and on paper in March, 2008 [3, 4]. In this mini-review, we presented immunological alterations found in patients with malignant mesothelioma (MM) and described the experimental background in which data were obtained from clinical samples [3, 4].

Thus, to avoid too much overlap of descriptions from the two reviews mentioned above, we briefly introduce recent and preliminary immunological results obtained from the plasma of silica and asbestos-exposed patients diagnosed with silicosis (SIL), pleural plaque (PP), or MM. Thereafter, experimental background and speculation

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concerning the immunological pathophysiology of silica and asbestos-exposed patients are discussed.

### Cytokines in patients with SIL, PP or MM compared with healthy donors (HD)

To screen the immunological status of silica and asbestosexposed patients diagnosed with SIL, PP, or MM, plasma cytokine levels were measured using the cytometric bead array of the human Th1/Th2 cytokine kit II (CBA, BD Bioscience, San Jose, CA, USA), and measurements were made using FACSCalibur flow-cytometry (BD Bioscience) according to the manufacturer's instructions [5]. This kit measures interferon (IFN)- $\gamma$ , tumor necrosis factor (TNF)- $\alpha$ , and interleukins (IL)-10, 6, 4, and 2. Data were analyzed using only patient samples with determined values that were more than the limit of detection of the measurement.

The following patients were included in the study: HD (n = 10), M:F = 7:3, age = 51.6 ± 10.6; SIL (n = 10), M:F = 10:0, age =  $73.2 \pm 7.4$ ; PP (*n* = 15), M:F = 8:2, age =  $70.2 \pm 5.4$ ; and MM (n = 8), M:F = 7:1, age =  $67.4 \pm 0.8.5$ . The SIL patients were brickyard workers from Bizen City, Okayama prefecture, Japan. The amount of free silica inhaled by these patients was estimated to be as high as 40-60% in their work environment. The subjects were diagnosed with pneumoconiosis according to the International Labor Office (ILO) 2000 [6]. They showed no clinical symptoms of autoimmune disorders. PP and MM patients were diagnosed in Okayama Rosai Hospital, Okayama, Japan. Three PP and five MM patients were working in the shipbuilding industry, five PP and one MM patient were making asbestos fibers, three PP patients were working in chemical industries, one PP patient was a construction worker, and two MM patients were working in furnace installation. Specimens were taken only after informed consent had been obtained. The study was approved by the Ethics Committee of Kawasaki Medical School, Kusaka Hospital, and Okayama Rosai Hospital.

As shown in Fig. 1, significant differences were found for IL-6 level. MM patients showed higher IL-6 levels than those of other groups.

In addition, it may be worth noting that the supportive experimental data regarding IFN- $\gamma$  indicated that IFN- $\gamma$  tended to be lower in MM than HD. A human T-cell leukemia virus type-1 (HTLV-1)-immortalized human polyclonal T cell line, MT-2, was used to establish a continuous exposure model of immune-competent cells to asbestos [7]. As previously reported [8–10], MT-2 cells died as a result of apoptosis mediated by production of reactive oxygen species (ROS) and activation of the mitochondrial apoptotic pathway following temporary exposure to chrysotile, an asbestos, at relatively high doses

(25–50 µg/ml), which are similar to experimental doses that produced alveolar epithelial and pleural mesothelial cell apoptosis in vitro [11–15]. However, a low dose (5– 10 µg/ml) and continuous exposure (more than 8 months) to chrysotile resulted in the acquisition of resistance to asbestos-induced apoptosis in MT-2 cells [9, 10, 16]. A comparison of cellular and molecular characteristics of original MT-2 cells and those of a continuously exposed subline, designated CB-1 to reflect exposure to chrysotile-B, revealed alterations of cytokine production (Fig. 2), activation of Src-family kinase, phosphorylation of signal transducers and activators of transcription (STAT)-3, and upregulation of Bcl-2 [9, 10, 16]. Figure 2 shows the excess production of IL-10 and reduced secretion of IFN- $\gamma$ , TNF- $\alpha$ , and IL-6.

Among the results obtained from the experimental model of continuous exposure of T cells to chrysotile, the reduction of IFN- $\gamma$  was compatible with results shown in Fig. 1. MM patients showed decreased plasma IFN- $\gamma$ compared with the other three patient groups. However, there were some differences in cytokine levels between data from the experimental model and clinical samples. We showed previously that the plasma level of IL-10 was higher in MM than HD [10], and the discrepancy between Fig. 1 and our previous findings might be because of the small number of subjects. The data presented here are somewhat preliminary and need to be augmented with measurements from more patients. At the very least, IL-10 may play an important role in the acquisition of resistance to asbestos-induced apoptosis by upregulation of Bcl-2 located downstream of activated STAT-3 driven by IL-10 [17, 18], and in the functional enhancement of the CD4<sup>+</sup>25<sup>+</sup>FoxP3<sup>+</sup> regulatory T cell (Treg), as a main soluble factor, and transforming growth factor (TGF)- $\beta$ [19-23]. The role of IL-10 in the immune system of patients exposed to silica/asbestos should be investigated with regard to the disruption of self-tolerance and modification of tumor immunity.

The decrease of IFN- $\gamma$  was similar in the experimental model and clinical samples. Because disruption of signaling pathways related to IFN- $\gamma$  was found in our experimental model (data not shown, paper in preparation), IFN- $\gamma$  may play an important role in immunological alteration in patients with asbestos-related diseases. It will be necessary to clarify modifications of the IFN- $\gamma$ -signaling pathway during cancer progression of asbestos-induced malignant tumors.

The higher IL-6 level in MM patients was not compatible with the experimental model. However, IL-6 is known to be altered when malignant tumors develop in patients [24–26]. Thus, we need to consider the alteration of IL-6 production due to the cancer-related change and the direct effect of asbestos on immune-competent cells. In addition,



**Fig. 1** Comparison of plasma cytokine levels in healthy donors (HD) and in patients with PP, malignant mesothelioma (MM), or silicosis (SIL). The following patients were included in the study: HD (n = 10), M:F = 7:3, age = 51.6 ± 10.6; SIL (n = 10), M:F = 10:0, age = 73.2 ± 7.4; PP (n = 15), M:F = 8:2, age = 70.2 ± 5.4; and MM (n = 8), M:F = 7:1, age = 67.4 ± 0.8.5. Plasma





Fig. 2 Comparison of cytokine secretion into culture supernatants between original MT-2 cells and subline CB-1, which was continuously exposed to chrysotile for more than eight months. Cytokines were measured using the cytometric bead array of the human Th1/Th2 cytokine kit II, and measurements were made using FACSCalibur flow-cytometry according to the manufacturer's instructions. Statistical analysis was performed using StatFlex version 5.0 software (Artech) and StatView-J 5.0 software (SAS Institute)

IL-6 should be evaluated as one of the plasma markers for MM [27, 28].

In contrast, SIL patients showed no particular alteration of measured cytokines. Although we previously reported an increase of anti-nuclear antibody (ANA)-titer and soluble Fas in SIL [29-33], no noteworthy changes of cytokines were found. It is well known that the typical immunological complications in SIL are associated with autoimmune diseases [34-37]. Generally, Th1 dominance is found in patients with generalized autoimmune diseases such as systemic lupus erythematosus (SLE) and systemic scleroderma (SSc) whereas Th2 dominance is present in patients with allergies and organ-specific autoimmune diseases such as Hashimoto's disease and type I diabetes mellitus [38, 39]. Thus, SIL might show a tendency towards Th1 dominance as an initial stage of generalized autoimmune disorders, because complicated autoimmune diseases associated with SIL are usually a generalized type such as SSc or rheumatoid arthritis (known as Caplan's syndrome [40-42]) [34-37]. Although IL-2 level did not differ from that in other groups measured, some markers such as soluble IL-2 receptor may be altered in SIL as preautoimmune disease status. It will be necessary to find some markers which indicate immune activation in SIL. In addition, we previously reported that silica slowly activates peripheral T cells in vitro regarding the expression of the

Fig. 3 Schematic diagram of speculative cellular mechanisms relating to the disruption of self-tolerance and subsequent development of autoimmune disorders in SIL. PD-1 is the molecule that is expressed only in activated T cells, and not in Treg cells



early T cell activation marker CD69 [43]. Silica may activate the immune system chronically in SIL patients, and chronically activated cells may play some role in the development of disruption of self-tolerance.

## Speculative cellular mechanisms concerning autoimmune disorders in SIL

Treg cells have been widely investigated because of their critical role in the regulation of T cell homeostasis, as recorded for the autoimmune reaction, tolerance after a transplant, and the prevention of graft versus host diseases (GVHD), allergies, hypersensitivity, and tumor immunity. The reduction of the size and function of Treg cells is thought to result in an excess auto-reaction that causes autoimmune disorders [19–23]. From this viewpoint, we reported previously that the suppressive function in SIL of the peripheral  $CD4^+25^+$  T cell fraction, in which functional Treg is located, was reduced compared with that of HD [44].

Speculation based on these data might suggest that:

- 1 silica affects CD4<sup>+</sup>25<sup>-</sup> responder T cells, resulting in their chronic and continuous activation;
- 2 these activated T cells change their phenotype towards  $CD4^+25^+$  and substitute for Treg in the  $CD4^+25^+$  fraction;
- 3 silica may affect CD4<sup>+</sup>25<sup>+</sup>FoxP3<sup>+</sup> Treg, leading to their chronic and continuous activation;

- 4 these activated Treg exhibit a much higher change in CD95 (Fas; death receptor) expression; and
- 5 Fas-overexpressing Treg may be susceptible to Fasmediated apoptosis.

During these cellular events, reduction of Treg size and function may occur in SIL to cause subsequent activation of autoimmunity, as shown in Fig. 3.

# Speculative cellular mechanisms concerning tumor immunity in MM

As mentioned above, MT-2 cells were used to create an in-vitro model of chronic and continuous exposure of T cells to asbestos [8-10, 16]. The continuously exposed subline CB-1 showed resistance to asbestos-induced apoptosis with activation of Src family kinase, upregulation of IL-10, phosphorylation of STAT-3, and upregulation of Bcl-2. Although it is not yet certain whether genetic alteration of CB-1 cells is caused by asbestos exposure, it is significant that although fewer cells obtained resistance to asbestos-induced apoptosis, gene expression level, at least, expanded during long-term culture with low-dose asbestos. In addition, TGF- $\beta$  was also upregulated in CB-1 cells compared with original MT-2 cells, as shown in Fig. 4. In combination with data indicating that MT-2 shows a CD4<sup>+</sup>25<sup>+</sup> phenotype and Treg is susceptible to HTLV-1 [45-48], the results suggest that MT-2 may be derived from immortalized Treg.



HTLV-1 immortalized human polyclonal T cell line: MT-2

Based on this speculation, upregulation of IL-10 and TGF- $\beta$  is very important in consideration of the function of Treg, because both cytokines are typical soluble factors for Treg function and cell-cell contact [19-23]. If exposure to asbestos enhances the function of Treg, the mechanisms involved in the aggressive progression of mesothelioma after a very long latent period (approximately 40 years [49-51]) may be regarded the basis of immunological alteration in asbestos-exposed patients. At some point during the long latent period after initial exposure, disruption of tumor immunity in asbestosexposed patients may occur, resulting in the rapid growth of tumor cells.

Conversely, inhibition of the disruption of tumor immunity may make tumor cells static and prevent rapid progression. This may be one approach for analysis of the immunological effects of asbestos. Of course, because there are many other immune-competent cell types involved in tumor immunity, for example natural killer (NK) cells [52, 53], CD8<sup>+</sup> cytotoxic T cells [54, 55], and natural killer T (NKT) cells [56, 57], the effects of asbestos on these cells should also be analyzed to better recognize the occurrence of disrupted tumor immunity in patients with asbestos-related cancer such as MM. Particularly, regarding Nk cells, Froom et al. reported NK activity in asbestos-related workers [58]. They measured NK cell number and activity in 1,052 retired asbestos workers without symptomatic lung disease, lung cancer, or mesothelioma and with a long latency period from exposure; results were compared with those for 100 healthy agematched controls. They reported the exposed workers showed a decreased NK cell activity and increased NK cell number, yielding a 10.8 higher odds ratio for low NK activity per cell compared with controls, which was due both to a decrease in NK cell activity and to an increase in NK cell number. Asbestos exposure of ten years or more increased the risk of low NK activity per cell. They conclude that exposure to asbestos is associated with diminished effectiveness of NK cells and a concomitant increase in the number of NK circulating cells. On the basis of these reports this should be investigated in the view of recent advances in the molecular and cellular biology of NK cells [53].

Although all these reports have not yet been completely confirmed, there is much literature showing the increased risk of nonthoracic cancers possibly resulting from asbestos exposure [59]. The effects of asbestos on immune-competent cells, described in this mini-review, may enhance hazardous cancer risks in the entire human body by alteration of tumor immunity.

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